Legal Services Supervisory Authority

Cayman Corporate Centre, 27 Hospital Road, Ground Floor
PO Box 2496, KY1-1104, Grand Cayman, Cayman Islands

FIRMS CONDUCTING RELEVANT FINANCIAL BUSINESS
Notification of Material Change

Pursuant to Section 55MA of the Anti-Money Laundering Regulations (2025 Revision), Designated Non-Financial Businesses and Professions (DNFBPs) conducting relevant financial business are required to notify the Legal Services Supervisory Authority (LSSA) of any change to the information submitted at the time of registration.

Notifications regarding material changes to the firm's business must be submitted no later than thirty (30) days after the change occurs.

This form captures changes in the firm's risk assessment, ownership and control and nature of business activities.

Submission Instructions

Please complete this form and submit with supporting documentation to the LSSA Supervision Department at supervision@caymanlssa.ky.

Firm Information

Registration No:	
Firm Name:	

Nature of the Material Change

Please select all that apply and provide details below:

- ☐ Change in ownership structure
- ☐ Change in control (e.g., ultimate beneficial owners who own 10% or more)
- ☐ Change in risk profile or assessment
- ☐ Other (please specify): _____

Description of the Change

Effective Date of the Change:	
Detailed Description of the Change (include specifics such as names of new connected persons and their capacity, or new services offered):	
Rational for the Change:	
Supporting Documentation (if applicable):	☐ Organisational Structure ☐ Updated Register of Directors & Officers ☐ Updated Register of Members/Shareholders ☐ Board Resolutions ☐ Updated Business Risk Assessment ☐ Other (please specify): _____

Declaration

This section must be completed by the firm's Anti-Money Laundering Compliance Officer (AMLCO) or a Senior Executive with appropriate signing authority.

I, hereby, declare that:

1. I am duly authorised to submit this notification on behalf of the firm;
2. The information provided in this form is true, accurate, and complete to the best of my knowledge and belief;
3. I understand the provision of false or misleading information to LSSA is in contravention of Regulation 55O of the AMLRs and may give rise to a penalty; and

4. I acknowledge the firm's ongoing obligation to immediately notify the LSSA in writing of any future changes to:
    a. the name of the firm;
    b. the address from which the firm carries on business;
    c. the directors, senior officers or any persons holding a significant or controlling interest in the organization; and
    d. the firm's risk profile.

Signature:	
Print Name:	
Date:	
Position:	
Contact Number:	